From:	· · · · · · · · · · · · · · · · · · ·	BUState/ou=Exchange A LT)/cn=Recipients/cn=Bro	-		
Sent:	Monday, October 23	, 1	ovine, reduing 50	RELEASE IN PART	
To:	• •	HuitemaDP@state.gov>		B5,B6	
Subject:	FW: Secretary Chao	s Visit to China			
Sent: Saturday, To: Browne, N	Evan T (Beijing) October 21, 2017 3:53 AM oah				B5
Subject: Fw: So Noah,	ecretary Chao's Visit to China				
					<b>B</b> 5
Evan					
Original Mess From: Kan, De Sent: Saturday, To: Felsing, Ev Abraham, Julie Cc: Leiby, Tho (Beijing); Leou Smith, M Hans Subject: RE: So	rek (OST) <derek.kan@dot.gr October 21, 2017 11:31 ran T (Beijing); Inman, Todd ( (DOT); Taylor-Hoes, Ronale mas J (Beijing); Olszowka, A I, Nancy W (Shanghai); Whita</derek.kan@dot.gr 	OST); Furman, Jon (OST); Mc lam R (Beijing); Cook, Marc S ker, Frank (Beijing); Kao, Paul ma K; Brust, Frederick E; Klei	; Beijing Econ Unit Chiefs; ine A (Shanghai); Davis, Pi	Fritz, Jonathan D ierce M (Beijing);	<b>B</b> 5
As you may kn					
Original M From: Felsing, Sent: Friday, O To: Kan, Derek <jon.furman@c <joseph.traini Hoes@dot.gov Cc: Leiby, Tho S <cookms2@ <fritzjd@state <whitakerfj@ <davispm@sta <voyleshk@s Noah <browne< td=""><td>lessage Evan T (Beijing) [mailto:Felse letober 20, 2017 7:15 PM (OST) &lt; derek.kan@dot.gov dot.gov ; McInerney, Marian @dot.gov ; Abraham, Julie (Ostate.gov); Abraham, Julie (Ostate.gov); Beijing Econ Unde.gov); Leou, Nancy W (Sharestate.gov); Kao, Pauline A (State.gov); Smith, M Hanscom</td><td>ingET@state.gov]  r; Inman, Todd (OST) <todd.im (ost)="" <julie.abraham@dot.gov="" <marianne.mcinerney="" ate.gov="" ost)="" te="">; Olszowka, Adam R (It t Chiefs <beijingeconunitchie <leounw@state.gov="" ghai)="">; V hanghai) <kaopa@state.gov>; (Hanscom) <smithmh@state.g <brustfe@state.gov="">; Klein, O</smithmh@state.g></kaopa@state.gov></beijingeconunitchie></todd.im></td><td>@dot.gov&gt;; Traini, Joseph &gt;; Taylor-Hoes, Ronale <r Beijing) <olszowkaar@st efs@state.gov&gt;; Fritz, Jonat Whitaker, Frank (Beijing) ; Davis, Pierce M (Beijing) gov&gt;; Voyles, Halima K</olszowkaar@st </r </td><td>(OST) onale.Taylor- ate.gov&gt;; Cook, Marc han D (Beijing)</td><td></td></browne<></voyleshk@s </davispm@sta </whitakerfj@ </fritzjd@state </cookms2@ </joseph.traini </jon.furman@c 	lessage Evan T (Beijing) [mailto:Felse letober 20, 2017 7:15 PM (OST) < derek.kan@dot.gov dot.gov ; McInerney, Marian @dot.gov ; Abraham, Julie (Ostate.gov); Abraham, Julie (Ostate.gov); Beijing Econ Unde.gov); Leou, Nancy W (Sharestate.gov); Kao, Pauline A (State.gov); Smith, M Hanscom	ingET@state.gov]  r; Inman, Todd (OST) <todd.im (ost)="" <julie.abraham@dot.gov="" <marianne.mcinerney="" ate.gov="" ost)="" te="">; Olszowka, Adam R (It t Chiefs <beijingeconunitchie <leounw@state.gov="" ghai)="">; V hanghai) <kaopa@state.gov>; (Hanscom) <smithmh@state.g <brustfe@state.gov="">; Klein, O</smithmh@state.g></kaopa@state.gov></beijingeconunitchie></todd.im>	@dot.gov>; Traini, Joseph >; Taylor-Hoes, Ronale <r Beijing) <olszowkaar@st efs@state.gov&gt;; Fritz, Jonat Whitaker, Frank (Beijing) ; Davis, Pierce M (Beijing) gov&gt;; Voyles, Halima K</olszowkaar@st </r 	(OST) onale.Taylor- ate.gov>; Cook, Marc han D (Beijing)	
,	et us know as soon as the ethic	s office review is complete			<b>B</b> 5
			oth planning for this schedus again.		
. 1	Č	• •	New York Tim	es Co., v. Dept of State Civi	il

UNCLASSIFIED U.S. Department of State Case No. F-2017-17100 Doc No. C06704926 Date: 05/21/2019

Action No. 19-cv-00645 Stein Declaration

Evan	
Sent from my BlackBerry 10 smartphone. From: Kan, Derek (OST) Sent: Saturday, October 21, 2017 04:46 To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (O Abraham, Julie (DOT); Taylor-Hoes, Ronale Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); K Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick Subject: RE: Secretary Chao's Visit to China	x, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing);
Evan, Thanks for the note and thanks to Mission China for all of their hard few weeks back, this is a unique opportunity to help U. S. Embassy and we are happy to help you to make this happen.	
Regarding the participants for high-level meetings, we will have SE	
meetings will also be staffed by Julie Abraham from X.	Admiral Mark Buzby (MARAD Administrator). These
In terms of the topics	
Further, it would be great to get a sense if Mission China has any iss to have the Secretary raise any matters the AMB/US Embassy wish.	
Regarding due diligence and ethics officials, we've been conferring wrap up. Thanks for the heads up. Let us know if you need anything else. We'll give you a call later to Derek	•
From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov] Sent: Friday, October 20, 2017 1:56 AM To: Kan, Derek (OST) <derek.kan@dot.gov>; Inman, Todd (OST) &lt; jon.furman@dot.gov&gt;; McInerney, Marianne (OST) <marianne.m. <joseph.traini@dot.gov="">; Abraham, Julie (OST) <julie.abraham@hoes@dot.gov> Cc: Leiby, Thomas J (Beijing) <leibytj@state.gov>; Olszowka, A S <cookms2@state.gov>; Beijing Econ Unit Chiefs <beijingeconl <fritzjd@state.gov="">; Leou, Nancy W (Shanghai) <leounw@state. <whethereof<="" td=""><td>acinerney@dot.gov&gt;; Traini, Joseph (OST) @dot.gov&gt;; Taylor-Hoes, Ronale <ronale.taylor- (beijing)="" <olszowkaar@state.gov="" adam="" r="">; Cook, Marc aUnitChiefs@state.gov&gt;; Fritz, Jonathan D (Beijing) ate.gov&gt;; Whitaker, Frank (Beijing) ate.gov&gt;; Davis, Pierce M (Beijing) H@state.gov&gt;; Voyles, Halima K</ronale.taylor-></td></leounw@state.></beijingeconl></cookms2@state.gov></leibytj@state.gov></julie.abraham@hoes@dot.gov></marianne.m.></derek.kan@dot.gov>	acinerney@dot.gov>; Traini, Joseph (OST) @dot.gov>; Taylor-Hoes, Ronale <ronale.taylor- (beijing)="" <olszowkaar@state.gov="" adam="" r="">; Cook, Marc aUnitChiefs@state.gov&gt;; Fritz, Jonathan D (Beijing) ate.gov&gt;; Whitaker, Frank (Beijing) ate.gov&gt;; Davis, Pierce M (Beijing) H@state.gov&gt;; Voyles, Halima K</ronale.taylor->
DOT Colleagues:	
Mission China looks forward to Secretary Chao's upcoming visit to	from October 29-

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all

November 5 and to assisting in any way possible. We are confident that her visit will be a great success.

participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing |冯以宏 Minister Counselor for Economic Affairs|经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处 E-mail | 电邮: FelsingET@state.gov<mailto:FelsingET@state.gov>

SBU This email is UNCLASSIFIED.

Official UNCLASSIFIED

From:	Browne, Noah	up
Sent:	Thursday, October 19, 2017 4:27 PM	RELEASE IN PART
To:	Harris, Robert K <harrisrk2@state.gov></harrisrk2@state.gov>	B5
Cc:	Briggs, Jamie M <briggsjm2@state.gov>; Donnelly, Elizabeth A</briggsjm2@state.gov>	4
Cultinat.	<pre><donnellyea@state.gov> PEr Secondary Chee Ethics Operation</donnellyea@state.gov></pre>	
Subject:	RE: Secretary Chao - Ethics Question	
Nie washiews	And	:-1:
No problem. 7	And great work by the folks on the ground in China in spotting this potent	iai issue.
Official UNCLASSIFIED		
ONCLASSIFIED	,	
From: Harris,		
<b>To:</b> Browne, N	ay, October 19, 2017 4:05 PM Noah	
Cc: Briggs, Jai	mie M; Donnelly, Elizabeth A	
Subject: RE:	Secretary Chao - Ethics Question	
Noah,		
Hi. Thanks so	much for your help on this.	
Bob		
From: Browne	o Noah	
	ay, October 19, 2017 3:05 PM	
	alima K; Briggs, Jamie M; Felsing, Evan T (Beijing); Donnelly, Elizabeth A;	Harris, Robert K; Mann,
Judy H <b>Cc:</b> Leiby, Tho	omas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davi	s. Pierce M (Beijing):
Cook, Marc S;	Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura	M; Brust, Frederick E;
Klein, Christop (Beijing)	oher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean E	3; Davis, Pierce M
	Secretary Chao - Ethics Question	
Hi all,		
Thanks for flag	gging this issue and looping us in.	

**B**5

Please let me know how DOT responds and if we can further assist.	
Best Noah	
Noah L. Browne Attorney-Adviser Ethics and Financial Disclosure (L/EFD) Office of the Legal Adviser United States Department of State (202)-663-3097	
Official - SBU UNCLASSIFIED	
From: Voyles, Halima K Sent: Thursday, October 19, 2017 1:44 PM To: Briggs, Jamie M; Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing) Subject: RE: Secretary Chao - Ethics Question	
	35
Tom/Evan — please advise if you've heard otherwise.  Official - SBU UNCLASSIFIED	
From: Briggs, Jamie M Sent: Thursday, October 19, 2017 1:39 PM To: Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing) Subject: RE: Secretary Chao - Ethics Question	
Hi, Evan. Thank you very much for bringing this to our attention. We're looping in a couple of colleagues from our ethics office, Judy Mann and Noah Browne,	
B	5
Best,	

Official - SBU
UNCLASSIFIED

From: Felsing, Evan T (Beijing)

Sent: Thursday, October 19, 2017 3:30 AM

To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K

Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M

**Cc:** Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B;

**B**5

**B**5

Davis, Pierce M (Beijing)

Subject: Secretary Chao - Ethics Question

Dear L colleagues,

Greetings from Beijing. I am writin Department of Transportation Secr	ng you because Mission China is in the midst	of preparing for a visit from
Department of Transportation Desi	Ctary Plants ends and	

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏

Acting Minister Counselor for Economic Affairs | 经济参赞

U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处

E-mail | 电邮: <u>FelsingET@state.gov</u> Tel | 电话: (86-10) 8531-3163 SBU

From: Huitema, David P < Huitema DP @state.gov > Sent: Thursday, October 19, 2017 2:31 PM **RELEASE IN PART** To: Browne, Noah <BrowneN@state.gov> Mann, Judy H < MannJH@state.gov> Cc: **Subject:** RE: Secretary Chao - Ethics Ouestion Thanks. Janice was copied on the original message and she forwarded this to me. Do you have a moment to discuss? Official - SBU **UNCLASSIFIED** From: Browne, Noah Sent: Thursday, October 19, 2017 1:47 PM To: Huitema, David P Cc: Mann, Judy H Subject: FW: Secretary Chao - Ethics Ouestion **B**5 David - can we chat about this? Official - SBU UNCLASSIFIED From: Voyles, Halima K Sent: Thursday, October 19, 2017 1:44 PM To: Briggs, Jamie M; Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah; Mann, Judy H Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beiiina) Subject: RE: Secretary Chao - Ethics Question Jaime -**B**5 Tom/Evan – please advise if you've heard otherwise. Official - SBU **UNCLASSIFIED** From: Briggs, Jamie M Sent: Thursday, October 19, 2017 1:39 PM To: Felsing, Evan T (Beijing); Martin, Janice N; Andrews, Sharon H; Donnelly, Elizabeth A; Harris, Robert K; Browne, Noah: Mann, Judy H Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing)

ethics office, Judy Mann and Noah Browne,	
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ial - SBU	
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n: Felsing, Evan T (Beijing) :: Thursday, October 19, 2017 3:30 AM	
Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K	
eiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M. Mana C. Language, M. Changhai). Smith. M. Hangager, (Hangagery). Steppe Jayre M. Brust, Fr	
, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Fr es, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein,	
s, Pierce M (Beijing)	
ect: Secretary Chao - Ethics Question	
L colleagues,	
in an franc Dailing I and weiking was because Micrian China in the suidet of successing for a vi	-:+ f
tings from Beijing. I am writing you because Mission China is in the midst of preparing for a vi artment of Transportation Secretary Elaine Chao and	SIC ITOITI

**B**5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏 Acting Minister Counselor for Economic Affairs | 经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处 E-mail | 电邮: FelsingET@state.gov

Tel | 电话:(86-10) 8531-3163

SBU

From: Briggs, Jamie M < Briggs im 2@state.gov> Sent: Thursday, October 19, 2017 12:23 PM Browne, Noah <BrowneN@state.gov> To: RELEASE IN PART B5,B6 RE: Secretary Chao - Ethics Question **Subject:** Great. Thanks, Noah. Official - Transitory **UNCLASSIFIED** From: Browne, Noah **Sent:** Thursday, October 19, 2017 12:07 PM To: Briggs, Jamie M Subject: RE: Secretary Chao - Ethics Question You can loop in Judy Mann and me. Official - Transitory **UNCLASSIFIED** From: Briggs, Jamie M Sent: Thursday, October 19, 2017 12:04 PM To: Browne, Noah Subject: RE: Secretary Chao - Ethics Question Thanks, Noah. I'd like to loop in someone in your office on our reply. Who should we loop in? Official - Transitory **UNCLASSIFIED** From: Browne, Noah Sent: Thursday, October 19, 2017 11:05 AM To: Briggs, Jamie M Subject: FW: Secretary Chao - Ethics Question **B**5 Just a heads-up that Baron forwarded me this email. Happy to chat further... Official - SBU **UNCLASSIFIED** From: Lobstein, Baron P (Beijing) Sent: Thursday, October 19, 2017 5:32 AM

UNCLASSIFIED U.S. Department of State Case No. F-2017-17100 Doc No. C06704931 Date: 04/02/2019

To: Browne, Noah

Cc: Felsing, Evan T (Beijing)

Subject: RE: Secretary Chao - Ethics Question

Noah,	
Hello again from Beijing. Our section chief just sent the below message to some of your colleagues. I understand this issue may not fall directly under your purview in financial disclosures, but we wanted to make sure we were covering all of our bases.	
All the best, Baron	
Baron Lobstein Trade, Technology & Transportation Unit Chief Economic Section, U.S. Embassy Beijing Tel: +86-10-8531-4398 / BB: LobsteinBP@state.gov	В6
Official - SBU UNCLASSIFIED	
From: Felsing, Evan T (Beijing) Sent: Thursday, October 19, 2017 3:30 PM To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing) Subject: Secretary Chao - Ethics Question	
Dear L colleagues,	
Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and	<b>B</b> 5
	В

B5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏 Acting Minister Counselor for Economic Affairs | 经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处 E-mail | 电邮:FelsingET@state.gov

Tel | 电话: (86-10) 8531-3163

SBU

From: Mann, Judy H < MannJH@state.gov> Sent: Thursday, October 19, 2017 12:00 PM **RELEASE IN** Browne, Noah <BrowneN@state.gov> **PART B5,B6** To: RE: Secretary Chao - Ethics Question **Subject: B**5 If I can or should follow up, I'd be happy to, just let me know. Thanks, Judy H. Mann Attorney-Adviser L/Ethics and Financial Disclosure Office of the Legal Adviser U.S. Department of State 202-663-3098 From: Browne, Noah Sent: Thursday, October 19, 2017 8:49 AM To: Mann, Judy H Subject: FW: Secretary Chao - Ethics Question **B**5 Judy – I'm sure that we'll be looped in on this by L/EAP, but I wanted to flag now. Official - SBU **UNCLASSIFIED** From: Lobstein, Baron P (Beijing) Sent: Thursday, October 19, 2017 5:32 AM To: Browne, Noah Cc: Felsing, Evan T (Beijing) Subject: RE: Secretary Chao - Ethics Question Noah, Hello again from Beijing. Our section chief just sent the below message to some of your colleagues. I understand this issue may not fall directly under your purview in financial disclosures, but we wanted to make sure we were covering all of our bases. All the best, Baron Baron Lobstein Trade, Technology & Transportation Unit Chief

Economic Section, U.S. Embassy Beijing Tel: +86-10-8531-4398 / BB: LobsteinBP@state.gov	
Official - SBU UNCLASSIFIED	
From: Felsing, Evan T (Beijing) Sent: Thursday, October 19, 2017 3:30 PM To: Martin, Janice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, Elizabeth A; Harris, Robert K Cc: Leiby, Thomas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Econ Unit Chiefs; Davis, Pierce M (Beijing); Cook, Marc S; Leou, Nancy W (Shanghai); Smith, M Hanscom (Hanscom); Stone, Laura M; Brust, Frederick E; Voyles, Halima K; Klein, Christopher C; Kao, Pauline A (Shanghai); Whitaker, Frank (Beijing); Stein, Sean B; Davis, Pierce M (Beijing) Subject: Secretary Chao - Ethics Question	
Dear L colleagues,	
Greetings from Beijing. I am writing you because Mission China is in the midst of preparing for a visit from Department of Transportation Secretary Elaine Chao and	B5

UNCLASSIFIED U.S. Department of State Case No. F-2017-17100 Doc No. C06704932 Date: 04/02/2019

receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏 Acting Minister Counselor for Economic Affairs | 经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处

E-mail | 电邮:<u>FelsingET@state.gov</u> Tel | 电话:(86-10) 8531-3163

SBU

Browne, Noah </o=SBUState/ou=Exchange Administrative Group

RELEASE IN PART

B5

(FYDIBOHF23SPDLT)/cn=Recipients/cn=Browne, Noah93e>

From:

То:		
	Leiby, Thomas J (Beijing) <leibytj@state.gov>; Fels <felsinget@state.gov></felsinget@state.gov></leibytj@state.gov>	ing, Evan T (Beijing)
Cc:	Huitema, David P < Huitema DP@state.gov>	
Subject:	RE: Secretary Chao's Visit to China	
Thanks. And I	meant Evan, not Ethan!	
Official UNCLASSI	EIFN	
UNCLASSI	FIED	
	Thomas J (Beijing)	
	/, October 23, 2017 6:05 PM Noah; Felsing, Evan T (Beijing)	
Cc: Huitema,	David P; Leiby, Thomas J (Beijing)	
	Secretary Chao's Visit to China	
Noah:		
Thank you fo	or your email. I've been trying to get a clear response from	DOT all evening to no avail I'll
<del>-</del>	is soon as I get more information, but we can share with y	<del>-</del>
	orking from.	od a proposed agenda mat we
ilau beeli wc		
nau been wo		
Tom		
Tom		
Tom Sent from m	y BlackBerry 10 smartphone.	
Tom  Sent from m  From: Brown  Sent: Tuesda	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM	
Tom  Sent from m  From: Brown  Sent: Tuesda  To: Felsing, E	y BlackBerry 10 smartphone. ne, Noah ny, October 24, 2017 4:55 AM Evan T (Beijing)	
Tom  Sent from m  From: Brown Sent: Tuesda To: Felsing, E Cc: Leiby, Th	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM	
Tom  Sent from m  From: Brown  Sent: Tuesda  To: Felsing, E  Cc: Leiby, Th  Subject: RE:	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM Evan T (Beijing) omas J (Beijing); Huitema, David P	
Tom  Sent from m  From: Brown Sent: Tuesda To: Felsing, E Cc: Leiby, Th	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM Evan T (Beijing) omas J (Beijing); Huitema, David P	
Tom  Sent from m  From: Brown  Sent: Tuesda  To: Felsing, E  Cc: Leiby, Th  Subject: RE:  Hi Ethan,	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM Evan T (Beijing) omas J (Beijing); Huitema, David P	e? In any event, we did have a
From: Brown From: Brown Sent: Tuesda To: Felsing, E Cc: Leiby, Th Subject: RE:	y BlackBerry 10 smartphone. ie, Noah iy, October 24, 2017 4:55 AM Evan T (Beijing) omas J (Beijing); Huitema, David P	

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ould it be possible to get a full breakdown of the proposed agenda and the participants in each vent/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the san facts.	ne set
est oah	
official - SBU NCLASSIFIED	
rom: Felsing, Evan T (Beijing) ent: Monday, October 23, 2017 9:00 AM o: Browne, Noah c: Leiby, Thomas J (Beijing) ubject: Re: Secretary Chao's Visit to China	
oah,	
understand that Secretary Chao has now cancelled her trip.	
/an	
ent from my BlackBerry 10 smartphone.	
rom: Browne, Noah ent: Monday, October 23, 2017 20:47 o: Felsing, Evan T (Beijing) ubject: RE: Secretary Chao's Visit to China	
near you.	
Original Message rom: Felsing, Evan T (Beijing) ent: Saturday, October 21, 2017 3:53 AM b: Browne, Noah abject: Fw: Secretary Chao's Visit to China	
oah,	
van	
ent from my BlackBerry 10 smartphone.	

UNCLASSIFIED U.S. Department of State Case No. F-2017-17100 Doc No. C06704934 Date: 05/21/2019

Original Message From: Kan, Derek (OST) < derek.kan@dot.gov > Sent: Saturday, October 21, 2017 11:31 To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST); Abraham, Julie (DOT); Taylor-Hoes, Ronale Cc: Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Cook, Marc S; Beijing Econ Unit Chiefs; Fritz, Jonathan D (Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing); Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah Subject: RE: Secretary Chao's Visit to China	
We've been working with Ethics throughout this planning process,	B5
As you may know,	<b>B</b> 5
Original Message From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov] Sent: Friday, October 20, 2017 7:15 PM To: Kan, Derek (OST) <a href="mailto:derek.kan@dot.gov">derek.kan@dot.gov"&gt;derek.kan@dot.gov"&gt;derek.kan@dot.gov</a> ; Inman, Todd (OST) <a href="mailto:fostate.gov">dot.gov</a> ; Furman, Jon (OST) <a href="mailto:fostate.gov">dot.gov</a> ; McInerney, Marianne (OST) <a href="mailto:fostate.gov">marianne.mcinerney@dot.gov</a> ; Traini, Joseph (OST) <a href="mailto:fostate.gov">Joseph.Traini@dot.gov</a> ; Abraham, Julie (OST) <a href="mailto:fulle.Abraham@dot.gov">fulle.Abraham@dot.gov</a> ; Taylor-Hoes, Ronale <a href="mailto:fostate.gov">Ronale.Taylor-Hoes@dot.gov</a> > Cc: Leiby, Thomas J (Beijing) <a href="mailto:LeibyTJ@state.gov">LeibyTJ@state.gov</a> ; Olszowka, Adam R (Beijing) <a href="mailto:Gostate.gov">OlszowkaAR@state.gov</a> ; Cook, Marc S <a href="mailto:CookMS2@state.gov">fostate.gov</a> ; Beijing Econ Unit Chiefs <a href="mailto:BeijingEconUnitChiefs@state.gov">fostate.gov</a> ; Fritz, Jonathan D (Beijing) <a href="mailto:Fostate.gov">fostate.gov</a> ; Leou, Nancy W (Shanghai) <a href="mailto:LeouNW@state.gov">LeouNW@state.gov</a> ; WhitakerFJ@state.gov; Leou, Nancy W (Shanghai) <a href="mailto:KaoPA@state.gov">kaoPA@state.gov</a> ; Davis, Pierce M (Beijing)	

In terms of the topics,	B5
in terms of the topics,	B6
Further, it would be great to get a sense if Mission China has any issues they wish for us to put on the agenda. We are happy to have the Secretary raise any matters the AMB/US Embassy wish.	B5 B5 B5
Regarding due diligence and ethics officials, we've been conferring with our ethics officer and have a couple of loose ends to wrap up. Thanks for the heads up.  Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.  Derek	
From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov] Sent: Friday, October 20, 2017 1:56 AM To: Kan, Derek (OST) <a href="mailto:derek.kan@dot.gov">derek.kan@dot.gov"&gt;derek.kan@dot.gov</a> ; Inman, Todd (OST) <a href="mailto:detek.kan@dot.gov">dotd.gov</a> ; Furman, Jon (OST) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; McInerney, Marianne (OST) <a href="mailto:detek.kan@dot.gov">derek.kan@dot.gov</a> ; Traini, Joseph (OST) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Taylor-Hoes, Ronale <a href="mailto:Ronale.Taylor-Hoes@dot.gov">dot.gov</a> ; Cook, Marc S <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Cook, Marc S <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Beijing) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Cook, Marc S <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Beijing) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Fritz, Jonathan D (Beijing) <a href="mailto:detek.kan@dot.gov">fritzJD@state.gov</a> ; Fritz, Jonathan D (Beijing) <a href="mailto:detek.kan@dot.gov">fritzJD@state.gov</a> ; Fritz, Jonathan D (Beijing) <a href="mailto:detek.kan@dot.gov">fritzJD@state.gov</a> ; Whitaker, Frank (Beijing) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Sand.gov; Davis, Pierce M (Beijing) <a href="mailto:detek.kan@dot.gov">dot.gov</a> ; Sand.gov; Smith, M Hanscom (Hanscom) <a href="mailto:detek.kan@dot.gov">sand.gov</a> ; Voyles, Halima K <a href="mailto:detek.kan@dot.gov">voyles, Halima K </a>	

SBU

This email is UNCLASSIFIED.

## Official

UNCLASSIFIED

From: Huitema, David P < Huitema DP @state.gov > RELEASE IN PART Sent: Tuesday, October 24, 2017 9:41 AM B5,B6 To: McManus, Katherine D < McManus KD@state.gov> RE: Secretary Chao's Visit to China Subject: Will do. Official - SBU UNCLASSIFIED From: McManus, Katherine D Sent: Tuesday, October 24, 2017 9:34 AM To: Huitema, David P Subject: RE: Secretary Chao's Visit to China **B**5 Thanks. Kitty Official - SBU **UNCLASSIFIED** From: Huitema, David P Sent: Tuesday, October 24, 2017 9:25 AM To: McManus, Katherine D Subject: FW: Secretary Chao's Visit to China **B**5 Official - SBU UNCLASSIFIED From: Browne, Noah Sent: Tuesday, October 24, 2017 8:41 AM To: Huitema, David P Subject: FW: Secretary Chao's Visit to China And it's off again, apparently. **B**5 Official - SBU UNCLASSIFIED **From:** Leiby, Thomas J (Beijing) Sent: Tuesday, October 24, 2017 6:01 AM To: Cook, Marc S; Felsing, Evan T (Beijing); Browne, Noah

Cc: Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou,

Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing); Leiby, Thomas J (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah:

To close the loop, we learned this morning from DOT that Secretary Chao will not be traveling to China because of unexpected new demands on her schedule.

Thank you,

Tom

Thomas J. Leiby – 雷慕华 || Economic Officer – 经济官员 || Embassy of the United States in Beijing – 美国驻华大使馆

O: +86 10 8531 3824 || F: +86 10 8531 4949 || M: +86 186 0122 2847 || E: LeibyTJ@state.gov

## Official - SBU UNCLASSIFIED

From: Cook, Marc S

**Sent:** Tuesday, October 24, 2017 8:24 AM **To:** Felsing, Evan T (Beijing); Browne, Noah

**Cc:** Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing);

Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: Re: Secretary Chao's Visit to China

Separately, we'll forward the full notional schedule.

From: Felsing, Evan T (Beijing)

Sent: Tuesday, October 24, 2017 8:05 AM

To: Browne, Noah

**Cc:** Beijing Econ Unit Chiefs; Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R

(Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)

Subject: RE: Secretary Chao's Visit to China

Noah,

Still trying to get 100% confirmation about whether or not Secretary Chao will visit. In the meantime, to answer your questions:

**B**5

	В5
I'll ask Tom Leiby to try to track down a schedule and participant list for you immediately. Thanks again.	
Evan	
This email is UNCLASSIFIED.	
From: Felsing, Evan T (Beijing)  Sent: Tuesday, October 24, 2017 7:43 AM  To: Stein, Sean B; Kao, Pauline A (Shanghai); Cardno, Gwendolyn J (Shanghai); Leou, Nancy W (Shanghai); Cook, Marc S; Fritz, Jonathan D (Beijing); Leiby, Thomas J (Beijing); Olszowka, Adam R (Beijing); Whitaker, Frank (Beijing); Lee, Jinnie (Beijing); Grimes, Anne E (Beijing)  Cc: Beijing Econ Unit Chiefs  Subject: Fw: Secretary Chao's Visit to China	
Tom,	
Let's talk first thing this AM.	
Evan	
Sent from my BlackBerry 10 smartphone.	
From: Browne, Noah < BrowneN@state.gov > Sent: Tuesday, October 24, 2017 04:54 To: Felsing, Evan T (Beijing) Cc: Leiby, Thomas J (Beijing); Huitema, David P Subject: RE: Secretary Chao's Visit to China	
Hi Ethan,  We just heard that Secretary Chao's trip may be back on after all. Is that true? In any event, we did have a conversation with the Designated Agency Ethics Official (DAEO) at the DOT, and this is what we know:	<b>B</b> 5

ould it be possible to get a full breakdown of the proposed agenda and the participants in each ent/meeting? We'd like to make sure that we and our DOT ethics colleagues are operating from the same facts.	set
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fficial - SBU NCLASSIFIED	
om: Felsing, Evan T (Beijing)	
ent: Monday, October 23, 2017 9:00 AM Di Browne, Noah	
:: Leiby, Thomas J (Beijing)  Ibject: Re: Secretary Chao's Visit to China	
bject. Re. Secretary Chao's visit to China	
ah,	
nderstand that Secretary Chao has now cancelled her trip.	
an	
nt from my BlackBerry 10 smartphone.	
rom: Browne, Noah ent: Monday, October 23, 2017 20:47	
o: Felsing, Evan T (Beijing)	
ubject: RE: Secretary Chao's Visit to China	
ear you.	$\neg$
Original Message	
om: Felsing, Evan T (Beijing) nt: Saturday, October 21, 2017 3:53 AM	
Browne, Noah ject: Fw: Secretary Chao's Visit to China	
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nt from my BlackBerry 10 smartphone.  briginal Message	

From: Kan, Derek (OST) < <u>derek.kan@dot.gov</u> > Sent: Saturday, October 21, 2017 11:31
To: Felsing, Evan T (Beijing); Inman, Todd (OST); Furman, Jon (OST); McInerney, Marianne (OST); Traini, Joseph (OST);
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(Beijing); Leou, Nancy W (Shanghai); Whitaker, Frank (Beijing); Kao, Pauline A (Shanghai); Davis, Pierce M (Beijing);
Smith, M Hanscom (Hanscom); Voyles, Halima K; Brust, Frederick E; Klein, Christopher C; Browne, Noah
Subject: RE: Secretary Chao's Visit to China
We've been working with Ethics throughout this planning process,
As you may know,
Original Message
From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov]
Sent: Friday, October 20, 2017 7:15 PM
To: Kan, Derek (OST) < derek kan@dot.gov >; Inman, Todd (OST) < todd.inman@dot.gov >; Furman, Jon (OST)
<a href="mailto:square"><inn.furman@dot.gov< a="">; McInerney, Marianne (OST) <a href="mailto:marianne.mcinerney@dot.gov"></a>; Traini, Joseph (OST) <julie.abraham@dot.gov< a="">; Taylor-Hoes, Ronale <a href="mailto:Ronale.Taylor-Hoes">Ronale.Taylor-Hoes</a>, Ronale <a href="mailto:square">Ronale.Taylor-Hoes</a>, Ronale <a href="mailto:square">Ronale.Taylor-Hoes</a>)</julie.abraham@dot.gov<></inn.furman@dot.gov<></a>
Hoes@dot.gov>
Cc: Leiby, Thomas J (Beijing) < Leiby TJ@state.gov >; Olszowka, Adam R (Beijing) < OlszowkaAR@state.gov >; Cook, Marc
S < CookMS2@state.gov>; Beijing Econ Unit Chiefs < BeijingEconUnitChiefs@state.gov>; Fritz, Jonathan D (Beijing) < FritzJD@state.gov>; Leou, Nancy W (Shanghai) < LeouNW@state.gov>; Whitaker, Frank (Beijing)
<a href="mailto:state.gov"> <a href="mailto:state.gov"> <a href="mailto:kaopa@state.gov"> </a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a></a>

In terms of the topics.	<b>B</b> 5
Further, it would be great to get a sense if Mission China has any issues they wish for us to put on the agenda. We are happy to have the Secretary raise any matters the AMB/US Embassy wish.	B\$
Regarding due diligence and ethics officials, we've been conferring with our ethics officer and have a couple of loose ends to wrap up. Thanks for the heads up.  Let us know if you need anything else. We'll give you a call later tonight. Thanks again for your support.  Derek	
From: Felsing, Evan T (Beijing) [mailto:FelsingET@state.gov] Sent: Friday, October 20, 2017 1:56 AM To: Kan, Derek (OST) <a href="mailto:Kan,Derek">derek kan@dot.gov</a> ; Inman, Todd (OST) <a href="mailto:Kan,Derek">todd.inman@dot.gov</a> ; Furman, Jon (OST) <a href="mailto:GoST) spanianne">jon.furman@dot.gov</a> ; McInerney, Marianne (OST) <a href="mailto:Marianne.mcinerney@dot.gov">marianne.mcinerney@dot.gov</a> ; Traini, Joseph (OST) <a href="mailto:Loseph.Traini@dot.gov">Joseph.Traini@dot.gov</a> ; Abraham, Julie (OST) <a href="mailto:Julie.Abraham@dot.gov">Julie.Abraham@dot.gov</a> ; Taylor-Hoes, Ronale <a href="mailto:Ronale.Taylor-Hoes@dot.gov">Ronale.Taylor-Hoes@dot.gov</a> > Cc: Leiby, Thomas J (Beijing) <a href="mailto:LeibyTJ@state.gov">LeibyTJ@state.gov</a> ; Olszowka, Adam R (Beijing) <a href="mailto:OlszowkaAR@state.gov">OlszowkaAR@state.gov</a> ; Cook, Marc S <a href="mailto:CookMS2@state.gov">CookMS2@state.gov</a> ; Beijing Econ Unit Chiefs <a href="mailto:BeijingBeijing">Beijing Econ Unit Chiefs <a href="mailto:BeijingBeijingBeijing">Beijing Econ Unit Chiefs <a href="mailto:BeijingBeijingBeijingBeijingBeijing">Beijing Econ Unit Chiefs </a></a></a>	

In order to proceed with our efforts to set up Secretary Chao's official meetings, we need to know the identities of all participants and the subject matter of the meetings. It would be very helpful if we could get confirmation from you in writing.

We will also need to conduct our own due diligence for these meetings here at the Embassy. Part of that process involves contacting our State Department ethics officials, and it's likely that they will reach out to DOT's ethics office. If you've already been in touch with your ethics officials, please provide us with a name. Otherwise, our ethics officials will reach out to your ethics officials in the course of normal due diligence.

Again, we look forward to supporting Secretary Chao's visit and ensuring that it is a success. Given the short time frame we are all facing, we hope that you can provide us with the requested information in writing by COB, Friday, October 20.

Best regards,

Evan Felsing |冯以宏 Minister Counselor for Economic Affairs|经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处 E-mail | 电邮: FelsingET@state.gov<mailto:FelsingET@state.gov>

SBU

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Official UNCLASSIFIED

C06704946 EB	1:19-cv-00645-JSR U.S. Department of State	Document 12-7 Case No. F-2017-17	Filed 05/21/19 Page 100 Doc No. C06704946	28 of 30 Date: 05/21/2019

From: Sent: To: Subject:	Martin, Janice N < MARTINJN@state.gov> Thursday, October 19, 2017 8:20 AM Huitema, David P < HuitemaDP@state.gov> FW: Secretary Chao - Ethics Question	RELEASE IN PART B5
As requested.		
Office of the Department of 2401 E Stree SA-1, Room Washington, Phone: (202) Fax: (202) 66	alist sclosure Division (L/EFD) Legal Adviser of State t, N.W. H-228 D.C. 20522 663-3099	
Official UNCLASSIFIED		
Sent: Thursda To: Martin, Ja Cc: Leiby, Tho Cook, Marc S; Voyles, Halima Davis, Pierce N	, Evan T (Beijing) ay, October 19, 2017 3:30 AM nice N; Andrews, Sharon H; Briggs, Jamie M; Donnelly, omas J (Beijing); Fritz, Jonathan D (Beijing); Beijing Ecol Leou, Nancy W (Shanghai); Smith, M Hanscom (Hansco K; Klein, Christopher C; Kao, Pauline A (Shanghai); Wh (Beijing) etary Chao - Ethics Question	n Unit Chiefs; Davis, Pierce M (Beijing); m); Stone, Laura M; Brust, Frederick E;
Dear L colleag	ues,	
	n Beijing. I am writing you because Mission China is in t f Transportation Secretary Elaine Chao and we	he midst of preparing for a visit from

1	
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1	

**B**5

Secretary Chao is scheduled to arrive in Beijing on October 29, so this is very time sensitive. We look forward to receiving your guidance as soon as possible.

Best regards,

Evan Felsing | 冯以宏 Acting Minister Counselor for Economic Affairs | 经济参赞 U.S. Embassy Beijing, Economic Section | 美国驻华大使馆经济处 E-mail | 电邮: FelsingET@state.gov

Tel | 电话:(86-10) 8531-3163

SBU